

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Applicants: Gregory John Fera, et al

Serial No.: 09/685,288

Filed: 10/10/2000

For: Method And System For  
Remotely Managing  
Communication Of Data  
Used For Predicting  
Malfunctions In A Plurality  
Of Machines

BOX MISSING PARTS

Commissioner for Patents  
Washington, D.C. 20231

Examiner: Not Assigned 1/16/2001

Art Unit: 2184 2175

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JAN 26 2001  
TRADEMARK OFFICE  
TC 1700  
AUG 06 2001

**SUBMISSION IN RESPONSE TO THE NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION  
FILING DATE GRANTED**

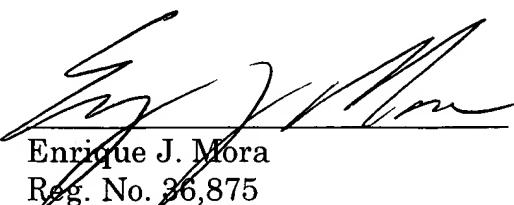
Sir:

In response to the Notice To File Missing Parts Of Nonprovisional Application, Filing Date Granted, dated as mailed 11/27/2000 and having a period of response to and including **January 27, 2001**, enclosed are the following items required for filing in the above-referenced U.S. Patent Application:

1. Formalities Letter
2. Declaration and Power of Attorney
3. Assignment and Agreement
4. Recordation Form Cover Sheet
5. Fee Transmittal Form authorizing payment of \$170.00 to Deposit

Account 07-0846

Respectfully submitted,



Enrique J. Mora  
Reg. No. 36,875  
Holland & Knight LLP  
P.O. Box 1526  
Orlando, FL 32802-1526  
Phone: (407) 244-1178

CERTIFICATE OF MAILING

I HEREBY CERTIFY that this Submission In Response To The Notice To  
File Missing Parts Of Nonprovisional Application Filing Date Granted is being  
deposited with the United States Postal Service as first class mail in an envelope  
addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C.  
20231 on this 22 day of January, 2001.



Evelyn J. Gish  
Evelyn J. Gish

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ORL1 #623925 v1

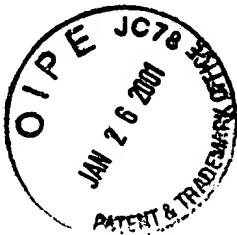


UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/685,288	10/10/2000	Fera	624226.00258

Enrique J. Mora, Esquire  
Holland & Knight, LLP  
P.O. Box 1526  
Orlando, FL 32802-1526



FORMALITIES LETTER



\*OC000000005579069\*

Date Mailed: 11/27/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

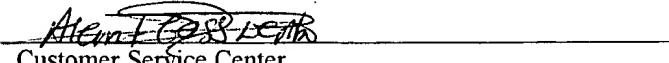
FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 130.

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

<b>TOTAL AMOUNT OF PAYMENT</b>	<b>(\$)</b> 170.00
--------------------------------	--------------------

<b>Complete if Known</b>	
Application Number	09/685,288
Filing Date	10/10/2000
First Named Inventor	Gregory John Fera
Examiner Name	Not Assigned <i>YI CUN LIN</i>
Group Art Unit	2184 <i>2175</i>
Attorney Docket No.	CIP 1973/1964/624226.258

**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **07-0846**

Deposit Account Name **General Electric Co. Transportation**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  **Payment Enclosed:**

Check  Credit card  Money Order  Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity
Fee Code (\$)	Fee Code (\$)

Fee Code (\$)	Fee Code (\$)
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101	690	201	345
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106	310	206	155
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107	480	207	240
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108	690	208	345
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114	150	214	75
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**Fee Paid**

Utility filing fee
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Design filing fee
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Plant filing fee
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Reissue filing fee
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Provisional filing fee
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**SUBTOTAL (1) (\$)** **0**

**2. EXTRA CLAIM FEES**

Extra Claims	Fee from below	Fee Paid
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Total Claims	-20** =	<input type="text"/> X <input type="text"/> = <input type="text"/>
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Independent Claims	- 3** =	<input type="text"/> X <input type="text"/> = <input type="text"/>
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Multiple Dependent		<input type="text"/> = <input type="text"/>
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\*\*or number previously paid, if greater; For Reissues, see below

Large Entity

Small Entity

Fee Code (\$)

Fee Code (\$)

103	18	203	9
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102	78	202	39
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104	260	204	130
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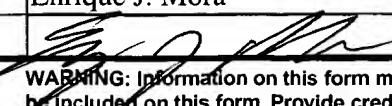
109	78	209	39
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110	18	210	9
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**SUBTOTAL (2) (\$)** **0**

<b>3. ADDITIONAL FEES</b>					
Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	
179	690	279	345	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
<b>SUBTOTAL (3) (\$)</b> <b>170.00</b>					

\* Reduced by Basic Filing Fee Paid

SUBMITTED BY					Complete (if applicable)
Name (Print/Type)	Enrique J. Mora	Registration No. (Attorney/Agent)	36,875	Telephone	(407) 244-1178
Signature				Date	<i>Jan. 22/01</i>

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

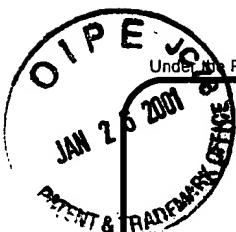
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/685288 685
		Filing Date	10/10/2000
		First Named Inventor	Gregory John Fera
		Group Art Unit	2184 2175
		Examiner Name	Not Assigned yianwun
Total Number of Pages in This Submission		Attorney Docket Number	CIP 1973/1964/624226.258

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):  1) Post Card 2) Formalities Letter 3) Submission in Response to Missing Parts
Remarks		

AUG 06 2001  
TC 700

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Enrique J. Mora, Esquire Holland & Knight LLP
Signature	
Date	Jan, 22 2001

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name	Evelyn J. Gish	
Signature		Date <input type="text" value="1/22/2001"/>

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